

## 法巴基金通知

2022 年 6 月 29 日

富達投信甫於近日接獲「法巴基金系列」之在台總代理人法銀巴黎證券投資顧問股份有限公司之通知事項。相關書件如附件所示供參。

若您對本通知有任何相關問題，歡迎聯絡您專屬的業務專員。富達證券營業讓與予富達投信後，目前富達投信未擔任該系列基金之銷售機構，若有其他相關問題，建議您可洽詢該系列基金之總代理人。

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**【富達投信獨立經營管理】**各基金經金管會核准或同意生效，惟不表示絕無風險，基金經理公司以往之經理績效不保證基金之最低投資收益，基金經理公司除盡善良管理人之注意義務外，不負責各基金之盈虧，亦不保證最低之收益，投資人申購前應詳閱基金公開說明書。有關基金應負擔之費用(境外基金含分銷費用)已揭露於基金之公開說明書或投資人須知中，投資人索取公開說明書或投資人須知，可至富達投資服務網 <http://www.fidelity.com.tw>或境外基金資訊觀測站 <http://www.fundclear.com.tw>查詢，或請洽富達投信或銷售機構索取。Fidelity 富達, Fidelity International, 與Fidelity International 加上其F標章為FIL Limited 之商標。FIL Limited 為富達國際有限公司。富達證券投資信託股份有限公司為FIL Limited 在台投資100%之子公司。110台北市信義區忠孝東路五段68號11樓，富達投信服務電話 0800-00-9911。

SITE 2016 09-007

# 法銀巴黎證券投資顧問股份有限公司 函

地址：110 台北市信義路五段 7 號 71 樓之 1

電話：(02)7718-8188

受文者：如行文單位

發文日期：中華民國 111 年 06 月 28 日

發文字號：法巴顧字第 1110094 號

速別：

密等及解密條件或保密期限：普通

附件：如後附

主旨：謹通知本公司所代理之「法巴美元短期債券基金」(BNP Paribas Funds US Short Duration Bond)變更英文名稱相關事項，詳如說明，請查照。

說明：

- 一. 本公司所代理之「法巴美元短期債券基金」(BNP Paribas Funds US Short Duration Bond)變更英文名稱，此變更將於 2022 年 7 月 29 日生效，其基金英文名稱更名前後對照表如下。

更名前基金英文名稱	更名後基金英文名稱
法巴美元短期債券基金 C(美元) BNP Paribas Funds US Short Duration Bond - Classic Cap.	法巴美元短期債券基金 C(美元) BNP Paribas Funds USD Short Duration Bond - Classic Cap.
法巴美元短期債券基金/月配(美元) BNP Paribas Funds US Short Duration Bond - Classic MD	法巴美元短期債券基金/月配(美元) BNP Paribas Funds USD Short Duration Bond - Classic MD

- 二. 有關基金投資目標、投資政策、永續投資政策等項目皆無變動，詳如附件投資人通知。

附件：

1. 金管證投字第 1110342234 號函文。
2. 投資人通知。

正本：永豐商業銀行股份有限公司(理財商品部)、台灣中小企業銀行、花旗(台灣)商業銀行、彰化商業銀行、兆豐國際商業銀行、華泰商業銀行、台北富邦商業銀行股份有限公司、華南商業銀行股份有限公司、渣打國際商業銀行股份有限公司、玉山商業銀行股份有限公司、日盛國際商業銀行股份有限公司、凱基銀行、陽信商業銀行、台新國際商業銀行、星展(台灣)商業銀行股份有限公司、中國信託商業銀行、第一商業銀行股份有限公司、遠東國際商業銀行、台灣土地銀行、合作金庫商業銀行股份有限公司、聯邦商業銀行股份有限公司、安泰商業銀行股份有限公司、國泰世華商業銀行股份有限公司、元大商業銀行股份有限公司、台灣新光商業銀行股份有限公司、京城商業銀行股份有限公司、台中商業銀行股份有限公司、群益金鼎證券股份有限公司、上海商業儲蓄銀行、三信商業銀行股份有限公司、法商法國巴黎銀行台北分行、復華證券投資信託股份有限公司、國泰證券投資信託股份有限公司、群益證券投資信託股份有限公司、富邦綜合證券股份有限公司、永豐金證券股份有限公司、萬寶證券投資顧問股份有限公司、中租證券投資顧問股份有限公司、安聯人壽保險股份有限公司、高雄銀行股份有限公司、台灣人壽保險股份有限公司、統一證券股份有限公司、凱基證券股份有限公司、日盛證券股份有限公司、元富證券股份有限公司、國票綜合證券股份有限公司、元大證券股份有限公司、第一金人壽保險股份有限公司、康和證券股份有限公司、法商法國巴黎人壽保險股份有限公司台灣分公司、富邦人壽保險股份有限公司、柏瑞證券投資信託股份有限公司、臺灣銀行、板信商業銀行、匯豐(台灣)商業銀行股份有限公司、合庫人壽保險股份有限公司、兆豐證券股份有限公司、全球人壽保險股份有限公司、兆豐證券投資信託股份有限公司、合作金庫證券投資信託股份有限公司、合作金庫人壽保險股份有限公司、元大證券投資信託股份有限公司、英屬百慕達商安達人壽保險股份有限公司台灣分公司、德盛安聯證券投資信託股份有限公司、鉅亨證券投資顧問股份有限公司、中國信託證券投資信託股份有限公司、富盛證券投資顧問股份有限公司、基富通證券股份有限公司、富達證券投資信託股份有限公司、台新證券投資信託股份有限公司、保德信證券投資信託股份有限公司、國泰綜合證券股份有限公司、富蘭克林華美證券投資信託股份有限公司、凱基投信股份有限公司

總經理 陳能耀

檔 號：  
保存年限：

## 金融監督管理委員會 函

地址：22041新北市板橋區縣民大道2段7號1  
8樓

承辦人：李小姐

電話：02-87735100分機7294

受文者：法銀巴黎證券投資顧問股份有限公司（代表人龍威力先生）

發文日期：中華民國111年5月30日  
發文字號：金管證投字第1110342234號  
速別：普通件  
密等及解密條件或保密期限：  
附件：

主旨：所請貴公司代理之「法巴美元短期債券基金」（BNP Paribas Funds US Short Duration Bond）變更英文名稱為「BNP Paribas Funds USD Short Duration Bond」一案，同意照辦，並請依說明事項辦理，請查照。

說明：

- 一、依據貴公司111年5月3日法巴顧字第1110064號函辦理。
- 二、自基金名稱變更之日起1年內，請於投資人須知及公開說明書中譯本並列基金之新舊名稱。貴公司及銷售機構應將更名情事通知投資人。
- 三、貴公司應依境外基金管理辦法第12條第6項規定，於事實發生日起3日內經由本會指定之資訊傳輸系統（www.fundclear.com.tw）辦理公告，並將修正後之公開說明書中譯本及投資人須知，依前揭辦法第37條及39條之規定於修正後3日內辦理公告。
- 四、若註冊地主管機關或股東會嗣後有未同意本案變更事項之情事，請儘速向本會申報。

正本：法銀巴黎證券投資顧問股份有限公司（代表人龍威力先生）  
副本：中華民國證券投資信託暨顧問商業同業公會（代表人張錫先生）、臺灣集中保管  
結算所股份有限公司（代表人林修銘先生）、中央銀行

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# 法巴基金 BNP Paribas Funds

中文簡譯

Luxembourg SICAV – UCITS category  
Registered office: 10 rue Edward Steichen, L-2540 Luxembourg  
Luxembourg Trade and Companies Register No. B 33363  
VAT No. LU22943885

## 投資人通知書

盧森堡, 2022年6月28日,

投資人您好,

我們謹此通知您以下變更將於下一版本 2022年7月公開說明書生效。

除非此文件另有說明, 以下變更將於 2022年7月29日生效。

### “美元短期債券基金 US Short Duration Bond”

此基金英文名稱將變更為“USD Short Duration Bond”。

此變動對子基金現行投資政策、資產配置與投資組合組成無影響。

### 其他資訊

增加文字, 用以更新及增加公開說明書整體文字的完整性, 以符合新的法規。

未於此投資人通知所定義之辭彙或表達, 與公開說明書中之辭彙或表達具有相同之意義。

若您的股份由清算機構所持有, 我們建議您獲取經由此類中間機構確認之申購、贖回及轉換之方式。

請注意除了於法規所要求之報章公告外, 可以獲取後續任何投資人通知的媒體, 為法國巴黎資產管理的網站 [www.bnpparibas-am.com](http://www.bnpparibas-am.com)。

### 您的選項

1. 若您同意這些變更, 您無須採行任何行動。
2. 若您不同意這些變更, 您可在 2022年7月28日前要求免費贖回您的股份。
3. 如有任何問題, 請洽本公司客服 (+ 352 26 46 31 21/ [AMLU.ClientService@bnpparibas.com](mailto:AMLU.ClientService@bnpparibas.com))。

董事會



**BNP PARIBAS**  
**ASSET MANAGEMENT**

The sustainable  
investor for a  
changing world

# BNP Paribas Funds

Luxembourg SICAV – UCITS category  
Registered office: 10 rue Edward Steichen, L-2540 Luxembourg  
Luxembourg Trade and Companies Register No. B 33363  
VAT No. LU22943885

## Notice to shareholders

Luxembourg, 28 June 2022,

Dear Shareholders,

We hereby inform you of the following changes incorporated in the next version of the prospectus, dated July 2022.

Unless otherwise provided in this document, the below changes will be effective on 29 July 2022.

### **“Disruptive Technology”**

The “Information relating to SFDR and Taxonomy” section of the sub-fund will be amended to remove the reference to the fact the sub-fund invests partially in sustainable investments within the meaning of SFDR\* as this was not the intention of the investment manager of the sub-fund.

\* *Regulation (EU) 2019/2088 of the European Parliament and of the Council of 27 November 2019 on sustainability-related disclosures in the financial services sector, also known as the Sustainable Finance Disclosure Regulation.*

This clarification has no impact on the current investment policy, asset allocation and portfolio composition of the sub-fund.

### **“Ecosystem Restoration”, “Energy Transition”**

The “Derivatives and Securities Financing Transactions” section of the sub-fund will be amended to provide that the sub-fund will be allowed to use:

- warrants for efficient portfolio management and hedging ;
- funded and unfunded Total Return Swaps (TRS), on a permanent basis, for efficient portfolio management. The expected portion of assets that can be subject to total return swaps will be set at 50% with a maximum set at 75%.

The “Risk Profile” section of the sub-fund will be updated accordingly.

There will be no increase of the ongoing charges and no change of the SRRI.

### **“Euro Bond Opportunities”**

The investment policy of the sub-fund will be amended to provide for the possibility to invest in debt securities traded on the Bond Connect up to 20%.

The Bond Connect is defined in the prospectus as being “a mutual market access program between the PRC interbank bond market and Hong Kong bond market infrastructures as approved by People’s Bank of China and Hong Kong Monetary Authority. It allows investors from Mainland China and overseas to trade in each other’s bond markets through a market infrastructure linkage in Hong Kong.”

This will increase the investment opportunities for the sub-fund.



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The "Risk Profile" section will be updated accordingly.

There will be no increase of the ongoing charges and no change of the SRRI.

**"Euro Defensive Equity"**

In order to clarify its ESG integration process and to reflect its level of integration the internal classification of the sub-fund moves from "Sustainable" to "Sustainable Plus-Enhanced ESG", without any material impact on the asset allocation, and composition of the portfolio.

**"Euro Inflation-Linked Bond"**

The "Investment Policy" section of the sub-fund will be amended to provide that the sub-fund will invest at least 2/3 of its assets in bonds or in securities treated as equivalent to bonds denominated in euro and indexed on the Eurozone or national (domestic country) inflation indices.

This clarification has no impact on the current investment policy, asset allocation and portfolio composition of the sub-fund.

In addition, the "Derivatives and Securities Financing Transactions" section of the sub-fund will be amended to provide that repurchase transactions can be used for the sub-fund on a temporary basis for investment purpose targeting the generation of additional income. The expected proportion of the sub-fund's assets that can be used for these transactions is set at 10% with a maximum of 15%.

The "Risk Profile" section will be updated accordingly.

There will be no increase of the ongoing charges and no change of the SRRI.

**"Global Bond Opportunities"**

The investment policy of the sub-fund will be amended to provide that the sub-fund will be allowed to invest in debt securities traded on the Bond Connect in addition to the China Interbank Bond market. In addition, the sub-fund's investments into these debt securities will be limited to 20% of its assets instead of 25% currently provided.

The Bond Connect is defined in the prospectus as being "a mutual market access program between the PRC interbank bond market and Hong Kong bond market infrastructures as approved by People's Bank of China and Hong Kong Monetary Authority. It allows investors from Mainland China and overseas to trade in each other's bond markets through a market infrastructure linkage in Hong Kong."

This will increase the investment opportunities for the sub-fund.

The "Risk Profile" section will be updated accordingly.

There will be no increase of the ongoing charges and no change of the SRRI.

**"Global Enhanced Bond 36M"**

The investment policy of the sub-fund will be amended to provide that the sub-fund will be allowed to invest in debt securities traded on the Bond Connect up to 20% of its assets.

The Bond Connect is defined in the prospectus as being "a mutual market access program between the PRC interbank bond market and Hong Kong bond market infrastructures as approved by People's Bank of China and Hong Kong Monetary Authority. It allows investors from Mainland China and overseas to trade in each other's bond markets through a market infrastructure linkage in Hong Kong."

This will increase the investment opportunities for the sub-fund.

The "Risk Profile" section will be updated accordingly.

There will be no increase of the ongoing charges and no change of the SRRI.



**"Global Inflation-Linked Bond"**

The "Derivatives and Securities Financing Transactions" section of the sub-fund will be amended to provide that repurchase transactions can be used for the sub-fund on a temporary basis for investment purpose targeting the generation of additional income. The expected proportion of the sub-fund's assets that can be used for these transactions is set at 10% with a maximum of 15%.

The "Risk Profile" section will be updated accordingly.

In addition, as the sub-fund will apply a non-financial analysis on a minimum of 90% of the assets of the sub-fund based on the internal Proprietary ESG scoring framework as indicated in the Prospectus, and that its average portfolio ESG score is expected to be higher than the one of its investment universe, this sub-fund will be classified as article 8 following SFDR\*.

\* Regulation (EU) 2019/2088 of the European Parliament and of the Council of 27 November 2019 on sustainability-related disclosures in the financial services sector, also known as the Sustainable Finance Disclosure Regulation

This classification has no impact on the current investment policy, asset allocation and portfolio composition of the sub-fund.

**"Multi-Asset Thematic"**

The cut-off time for the centralisation of orders in case the sub-fund invests through UCITS and UCIs in targeted assets will be amended from 16:00 CET (for STP orders) and 12:00 CET (for non STP orders) on the day preceding the applicable valuation day to 12:00 CET (for STP orders) and 10:00 CET (for non STP orders) on the applicable valuation day.

**"Premia Opportunities"**

The cut-off time for the centralisation of orders in case the sub-fund invests directly in targeted assets will be amended from 16:00 CET (for STP orders) and 12:00 CET (for non STP orders) on the day preceding the applicable valuation day to 16:00 CET (for STP orders) and 12:00 CET (for non STP orders) on the applicable valuation day.

The cut-off time for the centralisation of orders in case the sub-fund invests through UCITS and UCIs in targeted assets will be amended from 16:00 CET (for STP orders) and 12:00 CET (for non STP orders) on the day preceding the applicable valuation day to 12:00 CET (for STP orders) and 10:00 CET (for non STP orders) on the applicable valuation day.

**"RMB Bond"**

The sub-fund's details will be amended to provide that the sub-fund may invest in core financial derivative instruments for efficient portfolio management, and hedging purposes.

The "Risk Profile" of the sub-fund will be amended accordingly.

There will be no increase of the ongoing charges and no change of the SRRI.

**"Sustainable Multi-Asset Balanced", "Sustainable Multi-Asset Growth", "Sustainable Multi-Asset Stability"**

The cut-off time for the centralisation of orders will be amended from 16:00 CET (for STP orders) and 12:00 CET (for non STP orders) on the day preceding the applicable valuation day to 12:00 CET (for STP orders) and 10:00 CET (for non STP orders) on the applicable valuation day.

**"Sustainable Global Multi-Factor High Yield Bond"**

The investment policy of the sub-fund has been updated to, *inter alia*, remove the possibility for the investment manager to invest in structured debt (including ABS/MBS).

There is no impact on the composition of the portfolio or on the asset allocation of the sub-fund.

**"Target Risk Balanced"**

The SFDR\* classification will change from article 6 to article 8 in light of the current asset allocation and portfolio composition. The sub-fund will respect the minimum extra-financial analysis coverage rate as set out in the prospectus and the average portfolio ESG score of the sub-fund will be higher than the one of its investment universe.

This classification has no impact on the current investment policy, asset allocation and portfolio composition of the sub-fund.

**"US Short Duration Bond"**

This sub-fund will be renamed "*USD Short Duration Bond*".

This change has no impact on the current investment policy, asset allocation and portfolio composition of the sub-fund.

**ADDITIONAL INFORMATION**

Additional clerical changes have been made to update and enhance the general wording of the Prospectus or to comply with new laws and regulations. Terms or expression not defined in the present notice have the same meaning as in the Prospectus of the Company.

If a clearinghouse holds your shares, we advise you to enquire about the specific terms applying to subscriptions, redemptions and conversions made via this type of intermediary.

*Please note that except for the newspaper publications required by Law, the official media going forward to obtain any notice to shareholders will be our website [www.bnpparibas-am.com](http://www.bnpparibas-am.com).*

**YOUR OPTIONS**

1. If you are comfortable with these changes, you do not need to take any action.
2. Should you not approve these changes, you have the possibility to request the redemption of your shares free of charge until 28 July 2022.
3. In case of any question, please contact our Client Service (+ 352 26 46 31 21 /[AMLU.ClientService@bnpparibas.com](mailto:AMLU.ClientService@bnpparibas.com)).

Best regards,

The Board of Directors

