

駿利亨德森基金通知

2025 年 10 月 23 日

富達投信甫於近日接獲「駿利亨德森基金系列」之在台總代理人野村證券投資信託股份有限公司之通知事項。相關書件如附件所示供參。

若您對本通知有任何相關問題，歡迎聯絡您專屬的業務專員。富達證券營業讓與予富達投信後，目前富達投信未擔任該系列基金之銷售機構，若有其他相關問題，建議您可洽詢該系列基金之總代理人。

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【富達投信獨立經營管理】各基金經金管會核准或同意生效，惟不表示絕無風險，基金經理公司以往之經理績效不保證基金之最低投資收益，基金經理公司除盡善良管理人之注意義務外，不負責各基金之盈虧，亦不保證最低之收益，投資人申購前應詳閱基金公開說明書。有關基金應負擔之費用(境外基金含分銷費用)已揭露於基金之公開說明書或投資人須知中，投資人索取公開說明書或投資人須知，可至富達投資服務網 <http://www.fidelity.com.tw>或境外基金資訊觀測站 <http://www.fundclear.com.tw>查詢，或請洽富達投信或銷售機構索取。Fidelity 富達, Fidelity International, 與Fidelity International 加上其F標章為FIL Limited 之商標。FIL Limited 為富達國際有限公司。富達證券投資信託股份有限公司為FIL Limited 在台投資100%之子公司。110台北市信義區忠孝東路五段68號11樓，富達投信服務電話 0800-00-9911。

SITE 2016 09-007

正 本

發文方式：電子交換（第一類，不加密）

檔 號：

保存年限：

野村證券投資信託股份有限公司 函

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受文者：如正副本行文單位

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發文字號：野村信字第1140000738號

速別：普通件

密等及解密條件或保密期限：

附件：中、英文股東通知書

主旨：謹函轉本公司擔任總代理人之駿利亨德森資產基金系列境外基金公開說明書相關內容修訂如後說明，請 查照。

說明：

- 一、本公司經金融監督管理委員會核准，擔任駿利亨德森資產管理基金系列境外基金之總代理人，在國內公開募集及銷售，合先敘明。
- 二、本次駿利亨德森資產系列境外基金公開說明書調整事項如下：
 - (一)新增永續投資最低承諾：環球生命科技基金與環球房地產股票收益基金將至少10%淨資產投資於永續投資。
 - (二)為符合基金所提倡之環境或社會特徵，擴大駿利亨德森靈活入息基金及駿利亨德森美國短期債券基金資產配置範圍。
 - (三)明訂ESG落後者與UNGC違反者之處理機制，若發行人於24個月內未改善，將排除於投資範圍外。
 - (四)駿利亨德森平衡基金非股票配置新增「現金」作為資產類別，提升資產配置彈性。
 - (五)補充駿利亨德森平衡基金、駿利亨德森靈活入息基金及駿利亨德森美國短期債券基金投資策略說明，包含由下而上與由上而下的分析方法。
 - (六)修訂公開說明書中「負面永續性影響」章節，並更新ESG

裝

訂

線



政策連結至Janus Henderson ESG資料庫。

(七)統一文件中「SFDR」一詞為「揭露規則」，並新增「永續性方法」揭露以維持風格一致性。

三、上述通知之變更預計不會對投資人或本基金之風險概況、投資策略或投資組合之組成產生重大影響，並將於2025年11月21日生效，反映於新版公開說明書中，而該等公開說明書及重要投資人資訊亦可至境外基金資訊觀測站(<http://www.fundclear.com.tw>)下載。

正本：宏利證券投資信託股份有限公司、中租證券投資顧問股份有限公司、鉅亨證券投資顧問股份有限公司、基富通證券股份有限公司、好好證券股份有限公司、兆豐證券股份有限公司、統一綜合證券股份有限公司、凱基證券股份有限公司、元大證券股份有限公司、永豐金證券股份有限公司、臺灣銀行股份有限公司信託部、臺灣土地銀行股份有限公司、合作金庫商業銀行(信託部)、第一商業銀行股份有限公司信託處規劃部、華南商業銀行股份有限公司、彰化商業銀行股份有限公司信託處、上海商業儲蓄銀行股份有限公司信託部、台北富邦商業銀行股份有限公司、國泰世華商業銀行股份有限公司信託部(統編12163963)、高雄銀行股份有限公司信託部、兆豐國際商業銀行股份有限公司、王道商業銀行股份有限公司財富管理部、臺灣中小企業銀行股份有限公司、王道商業銀行股份有限公司財富信託部、渣打國際商業銀行股份有限公司信託部、台中商業銀行股份有限公司、京城商業銀行股份有限公司信託部、香港商香港上海滙豐銀行股份有限公司台北分公司、瑞興商業銀行股份有限公司信託部、華泰商業銀行股份有限公司信託部、臺灣新光商業銀行股份有限公司信託部、臺灣新光商業銀行股份有限公司財富管理部、陽信商業銀行股份有限公司信託部、板信商業銀行股份有限公司信託部、聯邦商業銀行股份有限公司財管部、遠東國際商業銀行股份有限公司投資顧問部、遠東國際商業銀行股份有限公司信託部、元大商業銀行股份有限公司、永豐商業銀行股份有限公司理財商品部、玉山商業銀行股份有限公司信託部、凱基商業銀行股份有限公司、星展(台灣)商業銀行信託部(T&O - WMO)、台新國際商業銀行股份有限公司、安泰商業銀行股份有限公司、中國信託商業銀行股份有限公司、富達證券投資信託股份有限公司、容海國際證券投資顧問股份有限公司、全球人壽保險股份有限公司、安聯人壽保險股份有限公司、南山人壽保險股份有限公司、富邦人壽保險股份有限公司產品行銷部、元大人壽保險股份有限公司、凱基人壽保險股份有限公司、台新人壽保險股份有限公司、國泰人壽保險股份有限公司、新光人壽保險股份有限公司、英屬百慕達商安達人壽保險股份有限公司台灣分公司、三商美邦人壽保險股份有限公司、兆豐國際證券投資信託股份有限公司、國泰證券投資信託股份有限公司、國泰證券投資顧問股份有限公司、群益證券投資信託股份有限公司、復華證券投資信託股份有限公司、永豐證券投資信託股份有限公司、華南產物保險股份有限公司

副本：

此為重要文件，請立即閱讀。若台端對應採取之行動有任何疑問，請洽詢台端的投資顧問或其他專業獨立顧問。依愛爾蘭中央銀行現行政策，本文件尚未經愛爾蘭中央銀行審閱。

(中譯文)

駿利亨德森資產管理基金

(下稱「本公司」)

登記編號 296610

10 Earlsfort Terrace
Dublin 2
Ireland

股東通知書 (下稱「本通知書」)

2025年10月22日

致股東：

本公司謹以本函通知台端本公司某些子基金（下稱「基金」）之變更。

除非下文另有規定，該等變更將反映在 2025 年 11 月 21 日（下稱「生效日」）前後出版之修訂後基金補充文件及/或公開說明書中。

下述變更不會對基金之投資策略或風險狀況產生重大影響。該等變更不會導致新增費用、收費或增加基金所負擔之費用或收費。基金股東之權益不會受重大不利影響。基金之運作及/或管理之方式不會有重大變化。

本通知書中所使用但未另行定義之語彙應與本公司最新公開說明書及/或基金補充文件（下稱「公開說明書」）中所定義者相同。

1. 增訂永續投資最低承諾

下列基金目前依永續金融揭露規則（「SFDR」）第 8 條促進環境及/或社會特徵，將新增將其各自淨資產價值最低百分比投資於永續投資之承諾。

基金名稱	永續投資最低承諾之淨資產價值
駿利亨德森環球生命科技基金	10%
駿利亨德森環球房地產股票收益基金	10%

我們為何決定增加本項承諾？

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Directors: Mr. Carl O'Sullivan; Mr. Peter Sandys; Mr. Ian Dyble (UK); Ms. Jane Challice (UK); Mr. Matteo Candolini (Italy); Ms. Anne-Marie King; Ms. Adele Spillane.
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Janus Henderson Investors Europe S.A. 作為本公司之管理公司，持續監控並評估本公司之子基金，以確保其持續符合客戶之期望與需求。客戶回饋顯示，各基金有對永續投資承諾最低限度曝險之需求。為回應此一需求，管理公司依副投資顧問的建議，決定為基金增加對永續投資之最低承諾。

本公司所指之永續投資所指為何？

副投資顧問將運用其永續投資方法以決定哪些投資可認定為永續投資。

副投資顧問採用通過/失敗測試，意謂著各項永續投資應符合以下所有三項條件：

1. 對環境或社會目標做出正面貢獻；
2. 不會對任何環境或社會永續投資目標造成重大損害；及
3. 遵循良好之治理實務。

就駿利亨德森環球生命科技基金及駿利亨德森環球房地產股票收益基金而言，投資將在以下情況下被認定為對環境或社會目標做出正面貢獻：

1. 其營業活動（定義為至少 20% 的收益）對環境及/或社會目標有正面貢獻，其中可能包括但不限於替代能源、能源效率、污染防治、營養、衛生與教育；或
2. 其營業實踐納入了科學基礎碳目標倡議組織 (SBTi) 核准之碳排放目標。

上述修改不會對基金現有之投資組合造成任何變化，因此亦不會產生相關的交易成本。

現有揭露之修訂摘要載於附件 A。

2. 駿利亨德森靈活入息基金及駿利亨德森美國短期債券基金 - 擴大資產配置範圍，以符合基金所提倡之環境或社會特徵

駿利亨德森靈活入息基金及駿利亨德森美國短期債券基金之 SFDR 揭露資訊將予以修訂，以反映擴張基金適用永續性方法與環境與社會資產配置於證券化資產之範圍。擴張範圍後，副投資顧問可將其專有之 ESG 框架適用於所有類型之證券化資產（目前僅適用於機構發行之不動產抵押證券）。因此，將使副投資顧問得以將所有證券化發行人納入基金之環境及社會資產配置範圍。

就駿利亨德森美國短期債券基金而言，對合格集合投資計畫之投資將構成與基金環境及社會特徵相符的資產比例之一部。此等投資尚可能包括為 SFDR 目的投資於具有相同環境和/或社會特徵之其他基金。

就駿利亨德森靈活入息基金而言，對合格集合投資計畫之投資將構成與基金之環境特徵及社會特徵均不相符之剩餘資產百分比之一部。此等投資尚可能包括為 SFDR 目的投資於具有不同環境及/或社會特徵之其他基金。

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現有揭露之修訂摘要載於附件 A。

3. 駿利亨德森平衡基金－議合成果衡量後之 ESG 落後者或違反 UNGC 標準者相關闡明之更新

關於駿利亨德森平衡基金應遵循之投資策略標準之 SFDR 揭露內容將予以修改，以明訂儘管副投資顧問在議合期間自第三方提供者取得 ESG 落後評級或 UNGC 不合格狀態，其仍得利用自身之研究與議合來投資或繼續投資於發行人。此項修訂係為更清楚闡明基金之現有做法。

關於基金應遵循之投資策略標準之 SFDR 揭露將予以修改，並加入下方劃底線之語句，副投資顧問將：

- 與違反 UNGC 原則之發行人議合，僅在透過該議合認為該等發行人正在改善的情況下始投資或繼續投資。如果發行人無法在 24 個月內達到「通過」評級，則將處分投資，並採取篩選措施將發行人排除在外，除非副投資顧問透過其自身之 ESG 研究及議合進行衡量有見改善，而選擇投資或繼續投資於此類發行人。
- 將評級為 B 或 CCC 之股票公司發行人視為 ESG 落後者。應與該等發行人議合，且僅在透過該議合認定該等發行人正在改善且發行人之評級將提升之情況下始進行投資或繼續投資。若發行人未在 24 個月內提升評級，則將處分投資，並採取篩選措施將發行人排除在外，除非副投資顧問透過其自身之 ESG 研究及議合進行衡量有見改善，而選擇投資或繼續投資於此類發行人。

4. 駿利亨德森平衡基金－在非股權投資配置中新增現金

公開說明書將進行更新，以反映駿利亨德森平衡基金之非股權投資部分中增加現金作為資產類別。此更新乃為賦予基金未實現外匯收益管理之更大彈性，且不對基金現有之投資組合產生影響。

更新後之基金非股票配置將修改如下：

- 駿利亨德森平衡基金：基金淨值之 30% - 65% 將投資於債務證券、貸款參與或現金。

5. 駿利亨德森平衡基金、駿利亨德森靈活入息基金及駿利亨德森美國短期債券基金－基金策略之加強說明

關於駿利亨德森平衡基金、駿利亨德森靈活入息基金及駿利亨德森美國短期債券基金之固定收益投資之投資策略部分將予以更新，以提供對副投資顧問如何尋求實現基金目標之一致描述，規定副投資顧問將結合「自上而下」與「自下而上」之因素以找出並管理各類別、產業與個別投資之曝險。

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An umbrella fund with segregated liability between sub-funds. Registered No. 296610; Registered Address: as above.

此等修訂純為闡明，並不會對基金之策略造成實際影響。現有揭露資訊之修訂摘要載於**附件 A**。

有關如何應對此等變更的詳細資訊，請參閱以下「**台端須採取之行動**」。

台端須採取之行動

請詳細閱讀並選擇以下任一選項：

1. 不採取任何行動

台端毋需為此變更採取任何行動。

2. 轉換台端股份

台端可依公開說明書的規定，在生效日前之任何一個營業日將台端在基金之股份免費轉換為本公司任何其他子基金同等類別之股份，惟該等股份應為可投資之股份（包括於台端所在之國家）。

台端指定之子基金股份將依公開說明書之規定，以適用於該子基金之股份價格申購。

於台端轉換至新子基金前，請務必閱讀新子基金之重要資訊文件（「**PRIPs KIDs**」），該文件可取得之方式詳述如後。

3. 贖回台端之股份

若台端不願於擬議變更實行後繼續投資於本基金，得依公開說明書規定之通常贖回程序及規定，於生效日前之任何營業日免費贖回台端持有之基金股份。

其他資訊

一般資訊

任何轉換或贖回台端股份之指示均應依**附件 B**所載之地址發送至過戶代理人。

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投資人得於註冊辦事處及 www.janushenderson.com 免費取得本公司之公開說明書、補充文件、PRIIPs KIDs、公司章程以及年報與半年報。

稅務結果

轉換或贖回台端股份可能會影響台端的稅務狀況。故台端應就適用於台端之公民身份、住所或居住國之任何稅務事項向專業顧問尋求諮詢。

稀釋調整

請注意，生效日前就基金之任何交易，董事得酌情適用稀釋調整，以在董事認為適當之情況下更公平地反映投資價值，以保護其餘股東之利益。任何稀釋調整（如適用）將依公開說明書之規定進行適用，並可能降低台端於贖回時從出售台端的股份中獲得之價金，或是轉換時台端之股份價值。

若台端選擇贖回台端之基金股份，

本公司將依公開說明書之規定向台端支付贖回款項，惟若台端係因本通知書所述之變更而贖回，本公司將不收取任何費用。

若台端選擇將台端之股份轉換為不同子基金之持股，

本公司將依公開說明書之規定，以適用於子基金之股份價格，利用所得款項買入台端所指定之子基金之股份，惟若台端係因本通知書所述之變更而轉換，本公司不會收取任何費用（除任何上述稀釋調整外）。

如台端對擬採取之行動有任何疑問，請向台端之證券經紀商、銀行經理、律師、會計師、客戶經理或其他專業顧問尋求建議。

若本公司尚未持有驗證台端身份之文件，本公司可能要求該文件，且於本公司收到該驗證前可能延遲付款。

本公司通常會依本公司留存之常規指示進行付款。若台端已更改銀行帳戶但未通知本公司，請使用附件 B 中提供之聯繫方式以書面形式確認台端之最新詳細資訊。

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需要更多資訊？如何聯繫本公司

若台端有任何疑問，請使用附件 B 中提供之詳細資訊聯絡過戶代理人。

本地代理人與新加坡、瑞士、德國或比利時投資人之代表機構請見附件 B 所載之詳細資訊。

順頌 時綏



董事

代表駿利亨德森資產管理基金

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附件 A

駿利亨德森環球生命科技基金及駿利亨德森環球房地產股票收益基金締約前揭露內容

締約前揭露章節範本	現行揭露內容	修訂後揭露內容(變更加底線)
本金融商品提倡之環境及/或社會特色為何？	(...) 本基金未使用參考指標以實現其環境或社會特色。	(...) <u>此外，本基金將至少 10% 之淨資產價值投資於永續性投資。</u> 本基金未使用參考指標以實現其環境或社會特色。
本金融商品擬做部分投資之永續投資之目標為何，該永續投資如何對前揭目標做出貢獻	不適用	(適用於駿利亨德森環球生命科技基金及駿利亨德森環球房地產股票收益基金) <u>副投資顧問採用通過/失敗測試，即每個永續性投資應符合以下全部三項要件：</u> <u>1. 其對環境與社會目標有正面貢獻；</u> <u>2. 其對任何環境或社會永續投資之目標不造成重大危害；及</u> <u>3. 其遵循良好治理實務。</u> <u>本基金投資至少 10% 之淨資產價值於永續投資，以追求其投資目標。所有永續投資將由其副投資顧問依永續投資方法評估。</u> <u>本基金持有之永續投資可能有助於解決一系列環境及/或社會議題。一項投資將在以下情況下被認定為對環境或社會目標有正面貢獻：</u> <u>1. 其商業活動（定義為至少 20% 之收益）對環境和/或社會目標有正面貢獻，包括但不限於替代能源、能源效率、污染防治、營養、衛生及教育；或</u> <u>2. 其商業實踐納入科學基礎碳目標倡議組織</u>

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		<u>(SBTi) 核准之碳排放目標。</u>
本金融商品擬做部分投資之永續投資如何不對任何環境或社會永續投資目標造成重大危害？	不適用	永續投資符合依相關法律規定所定義之不造成重大危害之要件。 <u>被認為造成重大危害之投資不符合永續投資。副投資顧問透過第三方數據及/或分析，包括 MSCI ESG 爭議方法，識別對永續性因素產生負面影響且造成重大危害之投資。</u>
如何考量永續性因素不利影響指標？	不適用	副投資顧問利用第三方數據及/或專有分析，包括 MSCI ESG 爭議方法，評估對永續因素主要不利影響，如歐盟執委會授權條例 (EU) 2022/1288 附錄一表格一所載（經不時修訂）。被認為對永續性因素產生負面影響並造成重大危害之投資不被視為永續投資。 <u>MSCI ESG 爭議方法與某些主要不利指標一致，而產生與薪酬、土地使用及生物多樣性、水資源管理及有毒廢棄物領域等相關嚴重爭議之特定排除。儘管主要不利指標並未設特定危害門檻，惟其可用以識別可能最重大之危害。</u> <u>此框架受持續審查，尤其隨數據之可用性及品質發展而進行。</u>
永續投資如何與《OECD 跨國企業指導綱領》及《聯合國工商企業與人權指導原則》達成一致？詳細說明：	不適用	副投資顧問利用第三方數據及/或專有分析，包括 MSCI ESG 爭議方法，評估其是否與《OECD 跨國企業指導綱領》及《聯合國工商企業與人權指導原則》一致。經認定違反上述原則之投資不被視為永續投資。 <u>此框架受持續審查，尤其隨數據之可用性及品質發展而進行。</u>
選擇投資標的以實現本金融商品提倡之各項環境或社會特色時採用之投資策略有何必備要素？	(…) 本基金亦採用全公司整體適用之排除政策，包括爭議性武器在內，詳載於公開說明書「投資限制」一節。	此外，本基金投資至少 10% 之淨資產價值於永續投資，如「 <u>本金融商品擬做部分投資之永續投資之目標為何，該永續投資如何對前揭目標做出貢獻？</u> 」所概述。

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		本基金亦採用全公司整體適用之排除政策，包括爭議性武器在內，詳載於公開說明書「投資限制」一節。
本金融商品規劃之資產配置為何？	本金融商品之投資至少有 80% (環球房地產股票收益基金為 90%) 符合本基金提倡之環境或社會特色。 (…)	本金融商品之投資至少有 80% (環球房地產股票收益基金為 90%) 符合本基金提倡之環境或社會特色。此外，本基金將至少 10% 之淨資產價值投資於永續性投資。 (…)
與歐盟分類規則不相符之環境目標相關永續投資最低比重為何？	不適用	本基金至少 0% 之總資產將投資於以環境目標但與歐盟分類規則不相符之永續投資。本基金投資於與歐盟分類規則不相符之永續投資係因其為本基金投資策略之一部或歐盟分類規則（與其相關數據）並未全面性涵蓋全部產業及部門或所有環境目標。儘管本基金承諾投資至少 10% 於永續投資，惟並未承諾投資於具環境或社會目標之永續投資之最低比重，其得投資於任何一個目標。
社會永續投資之最低比重為何？	不適用	本基金將投資至少 0% 之總資產於具有社會目標之永續投資。儘管本基金承諾投資 10% 之資產於永續投資，惟並未承諾投資於具環境或社會目標之永續投資之最低比重，其得投資於任何一個目標。

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駿利亨德森靈活入息基金及駿利亨德森美國短期債券基金締約前揭露內容

締約前揭露章節範本	現行揭露內容	修訂後揭露內容 (變更加底線)
本金融商品提倡之環境及/或社會特色為何？	(…) JHI 利用專有之 ESG 框架，利用第三方資料及專有見解以產生不動產抵押貸款證券之機構發行人評級。為鼓勵採取更良好之環境及/或社會實務作法，本基金投資將限於不動產抵押貸款證券之機構發行人 6 個類別評級中之前 5 級，更多資訊請見下文。 (…)	(…) JHI 利用專有之 ESG 框架，利用第三方資料及專有見解以產生<u>證券化發行人</u>評級。為鼓勵採取更良好之環境及/或社會實務作法，本基金投資將限於<u>證券化發行人 6 個類別評級中之前 5 級之證券化資產</u>，更多資訊請見下文。 (…)
為衡量本金融商品提倡之各項環境或社會特色之實現程度所採用之永續性指標為何？	(…) 根據專有框架對整體投資組合之不動產抵押貸款證券之機構發行人評級。 (…)	(…) 根據專有框架對整體投資組合之<u>證券化發行人</u>評級。 (…)
選擇投資標的以實現本金融商品提倡之各項環境或社會特色時採用之投資策略有何必備要素？	(…) 利用專有之 ESG 框架，利用第三方資料及專有見解，根據從「第 1 類」（最高）到「第 6 類」（最低）之六種評級對不動產抵押貸款證券之機構發行人進行分類。為鼓勵採取更良好之環境及/或社會實務作法，基金投資將限於 6 個類別評級中之前 5 級，即不會投資於「第 6 類」（最低）評級的發行人，因此類發行人已被評為永續發展風險管理不足。類別評級反映副投資顧問對該行業內大多數公司最相關之 ESG 風險等級之看法，並有助於為特定產業之投資組合構建提供資訊。 (…)	(…) 利用專有之 ESG 框架，利用第三方資料及專有見解，對<u>證券化發行人</u>進行分類。為鼓勵採取更良好之環境及/或社會實務作法，基金投資將限於<u>6 個類別評級中之前 5 級</u>。 (…)
本金融商品規劃之資產配置為何？	(駿利亨德森靈活入息基金及駿利亨德森美國短期債券基金) (…)	(駿利亨德森靈活入息基金) (…) 其他未用於符合環境或社會特徵之資產可能包括現金或約當

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	其他未用於符合環境或社會特徵之其他資產可能包括現金或約當現金、為投資組合管理效率之衍生性商品，或非用以增加直接發行人曝險而為投資目的之衍生性商品，及機構發行之不動產抵押證券以外之證券化資產。 (…)	現金、為投資組合管理效率之衍生性商品，或非用以增加直接發行人曝險而為投資目的之衍生性商品及合格集體投資計畫。 (…) (駿利亨德森美國短期債券基金) (…) 其他未用於符合環境或社會特徵之其他資產可能包括現金或約當現金、為投資組合管理效率之衍生性商品，或非用以增加直接發行人曝險而為投資目的之衍生性商品。 (…)
「#2 其他」類別包括哪些投資，該等投資之目的為何以及是否有任何最低限度之環境或社會保障？	(駿利亨德森靈活入息基金及駿利亨德森美國短期債券基金) 其他未用於符合環境或社會特色之資產可能包括現金或約當現金、為投資組合管理效率目的之衍生性商品，或非用以增加直接發行人曝險而為投資目的之衍生性商品，及機構發行之不動產抵押證券以外之證券化資產。	(駿利亨德森靈活入息基金) 其他未用於符合環境或社會特色之資產可能包括現金或約當現金、為投資組合管理效率目的之衍生性商品，或非用以增加直接發行人曝險而為投資目的之衍生性商品，及合格集體投資計畫。 (駿利亨德森美國短期債券基金) 其他未用於符合環境或社會特色之資產可能包括現金或約當現金、為投資組合管理效率目的之衍生性商品，或非用以增加直接發行人曝險而為投資目的之衍生性商品。

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**駿利亨德森平衡基金、駿利亨德森靈活入息基金及駿利亨德森美國短期債券基金之
基金策略加強闡明內容**

基金名稱	現行揭露內容	修訂後街路內容(變更加底線)
駿利亨德森平衡基金	(…) 相對於股票部位，債務證券投資組合之建構是為了相對於股票成份管理本基金之整體波動率。債務證券之選擇遵循由下而上、基本面主導的投資流程。副投資顧問的流程是透過檢視財務資訊、拜訪公司及市場研究，執行公司與個別證券之分析。 (…)	(…) 相對於股票部位，債務證券投資組合之建構是為了相對於股票成份管理本基金之整體波動率。副投資顧問選擇債權證券之投資程序以研究為導向，納入「由下而上」及「由下而上」之因素，以找出並管理各類別、產業及個別投資之曝險。固定收益投資組合管理透過分析基本面、評價與市場技術指標，評估投資組合與部位水準之預期風險調整後報酬。此研究包含傳統基本面分析與數據導向量化模型，及該模型產生之訊號。 (…)
駿利亨德森靈活入息基金	副投資顧問使用由下而上、基本面主導的投資流程，設法找出固定收益市場的最佳機會。此策略背後的信念是部分公司體質健全，能隨時間創造股東價值，與業界同儕相比前景更佳，故即使在充滿挑戰的產業與經濟情勢下，應仍有超越同業的表現。此策略從總體面做成決策，兼結合資訊充分下之風險與產業配置決定。 為進行此分析，副投資顧問使用其專有之投資組合建構方法以擴大產業配置決定之適用投資範圍。此種方法符合本基金之目標，旨在透過利用固定收益市場之低效率以實現最大報酬。此方法結合了客觀標準及避險假設，以降低不利價格變動之風險。此方法係按月重新為中性分配，因此可能依市況會隨著時間之推移而變化。	副投資顧問選擇債權證券之投資程序以研究為導向，納入「由下而上」及「由下而上」之因素，以找出並管理各類別、產業及個別投資之曝險。固定收益投資組合管理透過分析基本面、評價與市場技術指標，評估投資組合與部位水準之預期風險調整後報酬。此研究包含傳統基本面分析與數據導向量化模型，及該模型產生之訊號。
駿利亨德森美國短期債券基金	(…) 副投資顧問的前瞻性基本面信用研究設法找出未來的贏家與	(…) 副投資顧問選擇債權證券之投資程序以研究為導向，納入

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	輸家，以表達其高度確定性的看法。由下而上以基本面主導的投資流程，著重在致力於轉型及改善資產負債表、自由現金流量之創造、經營品質及證券評價之公司，此投資流程是選擇證券的重要依據。此策略背後的理念是部分公司體質健全，與業界同儕相比前景更佳，故即使在充滿挑戰的產業與經濟情勢下，應仍有超越同業的表現。由上而下的動態架構使副投資顧問得以評估信用週期的階段、找出機會及承擔適量的風險。 為進行此分析，副投資顧問使用其專有之投資組合建構方法以擴大產業配置決定之適用投資範圍。此種方法符合本基金之目標，旨在透過利用固定收益市場之低效率以實現最大報酬。此方法結合了客觀標準及避險假設，以降低不利價格變動之風險。此方法係按月重新為中性分配，因此可能依市況會隨著時間之推移而變化。 (…)	<u>「由下而上」及「由下而上」之因素，以找出並管理各類別、產業及個別投資之曝險。固定收益投資組合管理透過分析基本面、評價與市場技術指標，評估投資組合與部位水準之預期風險調整後報酬。此研究包含傳統基本面分析與數據導向量化模型，及該模型產生之訊號。</u> (…)
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附件 B

本公司代理人及當地代表機構

<u>過戶代理人</u> International Financial Data Services (Ireland) Limited Bishops Square Redmond's Hill Dublin 2 Ireland 電話：+353 1 242 5453 傳真：+353 1 562 5537	新加坡投資人 新加坡代表機構 Janus Henderson Investors (Singapore) Limited Level 34 - Unit 03-04 138 Market Street CapitaGreen Singapore 048946 公開說明書、產品資料概要、章程及本公司年報與半年報，可向新加坡代表機構免費索取。
瑞士投資人 瑞士代表機構： FIRST INDEPENDENT FUND SERVICES LTD. Feldegstrasse 12, 8008 Zurich 瑞士付款代理人： Banque Cantonale de Genève 17, quai de l'Ile 1204 Geneva 瑞士公開說明書摘錄本、主要資訊文件、本公司章程及年報與半年報，得向瑞士代表機構免費索取	德國投資人 Janus Henderson Investors Europe S.A. (下稱「JHIESA」) 78, Avenue de la Liberté L-1930 Luxembourg Grand Duchy of Luxembourg JHIESA 係根據德國投資法 (KAGB) 306a(1)條之協力服務供應商，可免費自其取得相關公開說明書、零售及保險投資產品組合之主要資訊文件 (PRIIPs-KIDs)、本公司設立證明與章程及年報與半年報紙本。
比利時投資人 Janus Henderson Investors Europe S.A. ("JHIESA") 78, Avenue de la Liberté L-1930 Luxembourg Grand Duchy of Luxembourg	所有其他投資人 若台端對於前述事項或文件有任何疑問，應按上述地址與本公司聯繫，或應聯繫台端之投資顧問、稅務顧問及/或法律顧問。 若台端對要採取的行動有任何疑問，請諮詢台端的證券經紀商、銀

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PRIIPS KIIDs (英文及法文)、公開說明書、章程及本公司經會計師查核之年度帳目與報告(英文)，可向本公司登記辦事處及協力代理人免費索取。

行經理、律師、會計師、客戶關係經理或其他專業顧問。

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此為重要文件，請立即閱讀。若台端對應採取之行動有任何疑問，請洽詢台端的投資顧問或其他專業獨立顧問。依愛爾蘭中央銀行現行政策，本文件尚未經愛爾蘭中央銀行審閱。

(中譯文)

駿利亨德森資產管理基金

(下稱「本公司」)

登記編號 296610

10 Earlsfort Terrace

Dublin 2

Ireland

股東通知書 (下稱「本通知書」)

2025年10月22日

致股東：

本公司謹以本函通知台端本公司某些子基金 (下稱「基金」) 之變更。

除下文另有規定外，該等變更將反映在 2025 年 11 月 21 日 (下稱「生效日」) 前後出版之修訂後基金補充文件及/或公開說明書中。

下述變更不會對基金之投資策略或風險狀況產生重大影響。該等變更不會導致新增費用、收費或增加基金所負擔之費用或收費。基金股東之權益不會受重大不利影響。基金之運作及/或管理之方式不會有重大變化。

本通知書中所使用但未另行定義之語彙應與本公司最新公開說明書及/或基金補充文件 (下稱「公開說明書」) 中所定義者相同。

1. 更新適用於管理公司及依揭露規則歸類為第 8 條或第 9 條基金之主要不利影響

公開說明書中「負面永續性影響」一節將進行修訂，以闡明管理公司將依揭露規則第 4 條規定之制度，考量投資決策對永續性因素之主要負面影響。更多詳情請見：
<https://www.janushenderson.com/en-ie/investor/principal-adverse-impact-statement/>。

又，該節將進行修訂以闡明依揭露規則歸類為第 8 條或第 9 條基金者通常依揭露規則第 7 條考量主要負面影響。

2. 更新 SFDR 締約前揭露之良好治理政策說明

為提供投資人有關如何對所有現有 SFDR 第 8 條基金之被投資公司進行良好治理實

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務評估之現行政策更詳盡之描述，將在 SFDR 締約前揭露之「評估被投資公司良好治理實務之政策為何？」一節新增下述揭露內容。

「副投資顧問已開發出一個基於內部分析與取得外部提供者資料之專有框架，以依良好治理相關之特定指標評估證券。」

此乃單純改進現有良好治理評估方法之敘述，基本方法本身未有任何改變，亦不會對投資組合產生任何影響。

3. 更新永續發展風險政策與責任投資政策之超連結

公開說明書中所揭示有關永續性風險政策及責任投資政策(目前稱「ESG 投資政策」)之超連結網址將進行更新，以引導投資人造訪駿利亨德森投資人「ESG 資料庫」網頁。

4. 更新「永續性方法」之揭露並加入正確定義之語彙

為維持所有子基金之風格一致性，駿利亨德森環球房地產股票收益基金之補充文件增訂標題為「永續性方法」之揭露。

為維持所有子基金之風格一致性，公開說明書各補充文件中「SFDR」一詞已依公開說明書之定義修訂為「揭露規則」。

台端毋需對此等變更採取任何行動，此僅供台端參考。

需要更多資訊？如何聯繫本公司

若台端有任何疑問，請使用附件 A 中提供之詳細資訊聯絡過戶代理人。

本地代理人與新加坡、瑞士、德國或比利時投資人之代表機構請見附件 A 所載之詳細資訊。

順頌 時綏



董事

代表駿利亨德森資產管理基金

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附件 A

本公司代理人及當地代表機構

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瑞士投資人 瑞士代表機構： FIRST INDEPENDENT FUND SERVICES LTD. Feldegstrasse 12, 8008 Zurich 瑞士付款代理人： Banque Cantonale de Genève 17, quai de l'Ile 1204 Geneva 瑞士公開說明書摘錄本、主要資訊文件、本公司章程及年報與半年報，得向瑞士代表機構免費索取	德國投資人 Janus Henderson Investors Europe S.A. (下稱「JHIESA」) 78, Avenue de la Liberté L-1930 Luxembourg Grand Duchy of Luxembourg JHIESA 係根據德國投資法 (KAGB) 306a(1)條之協力服務供應商，可免費自其取得相關公開說明書、零售及保險投資產品組合之主要資訊文件 (PRIIPs-KIDs)、本公司設立證明與章程及年報與半年報紙本。
比利時投資人 Janus Henderson Investors Europe S.A. ("JHIESA") 78, Avenue de la Liberté L-1930 Luxembourg Grand Duchy of Luxembourg PRIIPS KIIDs (英文及法文)、公開說明書、章程及本公司經會計師查核之年度	所有其他投資人 若台端對於前述事項或文件有任何疑問，應按上述地址與本公司聯繫，或應聯繫台端之投資顧問、稅務顧問及/或法律顧問。 若台端對要採取的行動有任何疑問，請諮詢台端的證券經紀商、銀行經理、律師、會計師、客戶關係經理或其他專業顧問。

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帳目與報告(英文)，可向本公司登記辦事處及協力代理人免費索取。

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THIS DOCUMENT IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION. IF YOU ARE IN ANY DOUBT AS TO THE ACTION YOU SHOULD TAKE YOU SHOULD SEEK ADVICE FROM YOUR INVESTMENT CONSULTANT OR OTHER PROFESSIONAL INDEPENDENT ADVISER. IN ACCORDANCE WITH THE CURRENT POLICY OF THE CENTRAL BANK, THIS DOCUMENT HAS NOT BEEN REVIEWED BY THE CENTRAL BANK.

JANUS HENDERSON CAPITAL FUNDS plc
(the “Company”)
Registration No. 296610.
10 Earlsfort Terrace
Dublin 2
Ireland

NOTICE TO SHAREHOLDERS
(“Notice”)

22 October 2025

Dear Shareholder,

We are writing to you to inform you of changes being made to certain sub-funds of the Company (the “Funds”).

Unless otherwise specified below, these changes will be reflected in an amended supplement for the Funds and/or prospectus to be dated on or around 21 November 2025 (the “Effective Date”).

The changes outlined below will not have a material impact on the investment strategy or risk profile of the Funds. There are no new fees, charges or increases in existing fees or charges borne by the Funds because of the changes. There will be no material adverse impact on the rights or interests of the Shareholders in the Funds. There will be no material change in the operation and/or manner in which the Funds are managed.

The terms used but not otherwise defined in this Notice shall have the same meanings as those defined in the latest prospectus for the Company and/or the supplement for the Funds (the “Prospectus”).

1. Introduction of a minimum commitment in sustainable investments

The Funds listed below, which currently promote environmental and/or social characteristics pursuant to Article 8 of the Sustainable Finance Disclosure Regulation (the “SFDR”), will adopt a new commitment to invest a minimum percentage of their respective net asset value in sustainable investments.

Fund Name	Minimum commitment of net asset value in sustainable investments
Janus Henderson Global Life Sciences Fund	10%
Janus Henderson Global Real Estate Equity Income Fund	10%

Why have we decided to include the commitment?

Janus Henderson Investors Europe S.A., as the Manager to the Company, continuously monitors and performs assessments of the Company’s sub-funds to ensure they continue to meet client expectations and needs. Feedback from clients suggests there is demand for the Funds to commit a minimum level of exposure to sustainable investments. In response to this demand, the Manager, on the recommendation of the Sub-Investment Adviser, has decided to introduce a minimum commitment in sustainable investments for the Funds.

What do we mean by sustainable investments?

The Sub-Investment Adviser will use its sustainable investment methodology to determine which investments may be considered sustainable investments.

The Sub-Investment Adviser uses a pass/fail test meaning that each sustainable investment must meet all three of the requirements below:

1. it positively contributes to an environmental or social objective;
2. it does not cause significant harm to any environmental or social sustainable investment objective; and
3. it follows good governance practices.

With respect to the **Janus Henderson Global Life Sciences Fund** and the **Janus Henderson Global Real Estate Equity Income Fund**, an investment will be determined to make a positive contribution to an environmental or social objective where:

1. its business activity, defined as a minimum 20% of revenue, positively contributes to environmental and/or social objectives, which may include but are not limited to, alternative energy, energy efficiency, pollution prevention, nutrition, sanitation, and education; or
2. its business practices incorporate carbon emissions targets approved by the Science-Based Targets initiative (SBTi).

There will be no changes to the Funds' existing portfolio as a result of the above amendment, and therefore no associated transaction costs.

A summary of the amendments to the existing disclosures is included in **Appendix A**.

2. *Janus Henderson Flexible Income Fund & Janus Henderson US Short Duration Bond Fund – expansion of asset allocation scope used to meet the environmental or social characteristics promoted by the Funds*

The SFDR disclosures of the Janus Henderson Flexible Income Fund and the Janus Henderson US Short Duration Fund will be amended to reflect an expanded coverage of securitised assets with respect to the Funds' sustainable approach and environmental and social asset allocation. The expanded coverage will allow the Sub-Investment Adviser to apply its proprietary ESG framework across all types of securitised assets instead of the existing scope, which only applies to agency mortgage-backed securities. Accordingly, this will allow the Sub-Investment Adviser to consider all securitised issuers as part of the Fund's environmental and social asset allocation.

With respect to the Janus Henderson US Short Duration Bond Fund, investments into Eligible Collective Investment Schemes will form part of the percentage of assets that are aligned with the environmental and social characteristics of the Fund. Such investments may also include investing into other Funds with the same environmental and/or social characteristics for the purpose of SFDR.

With respect to the Janus Henderson Flexible Income Fund, investments into Eligible Collective Investment Schemes will form part of the remaining percentage of assets that are neither aligned with the environmental nor the social characteristics of the Fund. Such investment may also include investing into other Funds with different environmental and/or social characteristics for the purpose of SFDR.

A summary of the amendments to the existing disclosures is included in **Appendix A**.

3. *Janus Henderson Balanced Fund – clarificatory updates regarding the measurement of engagement outcomes of ESG laggards or UNGC violators*

The SFDR disclosures regarding the binding criteria of the Janus Henderson Balanced Fund's investment strategy will be amended to clarify that the Sub-Investment Adviser is able use its own

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research and engagement to invest or continue to stay invested in issuers, despite having an ESG laggard rating or UNGC Fail status from a third-party provider during the engagement period. This amendment is being made to better clarify the Fund's existing practice.

The SFDR disclosures regarding the binding criteria of the Fund's investment strategy will be amended with the additional language underlined below, whereby the Sub-Investment Adviser will:

- Engage with issuers in breach of UNGC principles and will only invest or continue to be invested if it considers through such engagement that they are on track to improve. If the issuer does not achieve a "pass" rating within 24 months, it will divest and screens will be applied to exclude the issuer, unless the Sub-Investment Adviser elects to invest or continue to stay invested in such issuers as a result of observed improvements measured by the Sub-Investment Adviser's own ESG research and engagement.
- Consider corporate issuers of equities with a rating of B or CCC to be ESG laggards. It will engage with such issuers and will only invest or continue to be invested if it considers through such engagement that they are on track to improve and that the rating of the issuer will be upgraded. If the issuer's rating is not upgraded within 24 months, it will divest and screens will be applied to exclude the issuer, unless the Sub-Investment Adviser elects to invest or continue to stay invested in such issuers as a result of observed improvements measured by the Sub-Investment Adviser's own ESG research and engagement.

4. Janus Henderson Balanced Fund– addition of cash within non-equity investment allocation

The Prospectus will be updated to reflect the addition of cash as an asset class within the portion of the non-equity investments of the Janus Henderson Balanced. This update is being made to provide greater flexibility for the management of the Fund's unrealised foreign exchange profits and there will be no impact to the Fund's existing portfolios.

The updated non-equity allocation of the Fund will be amended as below:

- **Janus Henderson Balanced Fund:** 30% - 65% of the Fund's net asset value will be invested in Debt Securities, loan participations or cash.

5. Janus Henderson Janus Henderson Balanced Fund, Janus Henderson Flexible Income Fund & Janus Henderson US Short Duration Bond Fund – clarificatory enhancements to fund strategy

The investment strategy sections with respect to fixed income investments of the Janus Henderson Balanced Fund, Janus Henderson Flexible Income Fund and the Janus Henderson US Short Duration Bond Fund will be updated to provide a consistent description of how the Sub-Investment Adviser seeks to achieve the Funds' objectives by providing that the Sub-Investment Adviser will incorporate "top-down" and "bottom-up" factors to identify and manage exposure to risks across sectors, industries, and individual investments.

These amendments are purely clarificatory and there are no actual changes to the strategy of the Funds. A summary of the amendments to the existing disclosures is included in **Appendix A**.

Please see "**Action Required by You**" below for details on how to respond to these changes

Janus Henderson Capital Funds PLC

10 Earlsfort Terrace, Dublin 2, Ireland

T +353 1 920 1000

W janushenderson.com

Directors: Mr. Carl O'Sullivan; Mr. Peter Sandys; Mr Ian Dyble (UK); Ms. Jane Shoemake (UK), Mr. Matteo Candolini (Italy), Ms. Anne-Marie King, Ms. Adele Spillane.

An umbrella fund with segregated liability between sub-funds. Registered No. 296610; Registered Address: as above.

Action Required by You

PLEASE READ CAREFULLY AND CHOOSE ONE OF THE FOLLOWING OPTIONS:

1. *Take no action*

You are not required to take any action as a result of these changes.

2. *Switch your Shares*

You may switch your Shares in the Fund(s) into the equivalent class of shares of any other sub-fund(s) of the Company free of charge on any Business Day prior to the Effective Date in accordance with the provisions of the Prospectus, provided that such an investment is permitted, including in your country.

The shares in the sub-fund(s) you specify will be purchased at the share price applicable to that sub-fund in accordance with the provisions of the Prospectus.

Before you switch into a new sub-fund, it is important that you read the Key Information Document ("**PRIIPs KID**") of the new sub-fund, which is available as described below.

3. *Redeem your Shares*

If you do not wish to remain invested in the Fund(s) following the implementation of the proposed changes you may redeem your Shares in the Fund(s) free of charge on any Business Day prior to the Effective Date by following the usual redemption procedures and terms as set out in the Prospectus.

Additional Information

General information

Any instruction to switch or redeem your Shares should be sent to the Transfer Agent at the address indicated in **Appendix B**.

Investors may obtain the Prospectus, the Supplement, the PRIIPS KID, the Articles, as well as the annual and semi-annual reports of the Company, free of charge from the registered office and at www.janushenderson.com

Tax consequences

Any switch or redemption of your Shares may affect your tax position. You should therefore seek guidance from a professional adviser on any taxes that apply in the country of your respective citizenship, domicile, or residence.

Dilution adjustment

Please note that for any dealing in the Funds prior to the Effective Date, the Directors have discretion to apply a dilution adjustment to reflect more fairly the value of the investments in circumstances the Directors consider appropriate, with the view to protecting the interests of remaining Shareholders. Any dilution adjustment, if

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applicable, will be applied in accordance with the provisions of the Prospectus and may lower the proceeds that you receive from the sale of your Shares in the case of redemption, or the value of your Shares in the case of a switch.

If you choose to redeem your Shares in the Fund,

we will pay the redemption proceeds to you in accordance with the provisions of the Prospectus, except that we will not impose any fee if you redeem because of the changes described in this Notice.

If you choose to switch your Shares to a holding in a different Fund,

then we will use the proceeds to purchase Shares in the sub-fund(s) you specify at the share price applicable to that sub-fund in accordance with the provisions of the Prospectus, except that we will not impose any fee if you switch because of the changes described in this Notice.

If you are in any doubt about the action to be taken, please seek advice from your stockbroker, bank manager, solicitor, accountant, relationship manager or other professional adviser.

We may require documentation to verify your identity if we do not already hold it and may delay payment until we receive such verification.

We will normally make payment in accordance with the standing instructions we hold on file. If you have changed your bank account and not informed us, please confirm your up-to-date details in writing using the contact details provided in **Appendix B**.

Need more information? How to contact us

If you have any questions, please contact the Transfer Agent, using the details provided in Appendix B.

For local agents and representatives for Singaporean, Swiss, German or Belgian investors please see details in Appendix B.

Yours faithfully,



Director
For and on behalf of Janus Henderson Capital Funds plc

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Appendix A

Pre-Contractual Disclosures for the Janus Henderson Global Life Sciences Fund and Janus Henderson Global Real Estate Equity Income Fund

Template pre-contractual disclosure section	Existing Disclosure	New Disclosure (changes underlined)
<i>What environmental and / or social characteristics are promoted by this financial product?</i>	(...) The Fund does not use a reference benchmark to attain its environmental or social characteristics.	(...) <u>In addition, the Fund invests a minimum of 10% of its net asset value in sustainable investments.</u> The Fund does not use a reference benchmark to attain its environmental or social characteristics.
<i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i>	Not Applicable	<i>(For the Janus Henderson Global Life Sciences Fund and Janus Henderson Global Real Estate Equity Income Fund)</i> <u>The Sub-Investment Adviser uses a pass/fail test meaning that each sustainable investment must meet all three of the requirements below:</u> 1. <u>it positively contributes to an environmental or social objective;</u> 2. <u>it does not cause significant harm to any environmental or social sustainable investment objective;</u> - and 3. <u>it follows good governance practices.</u> <u>This Fund invests a minimum of 10% of its net asset value in sustainable investments in pursuit of its investment objective. All sustainable investments will be assessed by the Sub-Investment Adviser to comply with its sustainable investment methodology.</u> <u>The sustainable investments held by the Fund may contribute to addressing a range of environmental and/or social issues. An investment will be determined to make a positive contribution to an environmental or social objective where:</u> 1. <u>its business activity, defined as a minimum 20% of revenue, positively contributes to environmental and/or social objectives, which may include but are not limited to, alternative energy, energy efficiency, pollution prevention, nutrition, sanitation, and education; or</u> 2. <u>its business practices incorporate carbon emissions targets approved by the Science-Based Targets initiative (SBTi).</u>

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How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?	Not Applicable	<u>Sustainable investments meet the do no significant harm requirements, as defined by applicable law and regulation. Investments considered to be causing significant harm do not qualify as sustainable investments. The Sub-Investment Adviser identifies investments which negatively impact sustainability factors and cause significant harm by using third party data and/or analysis, including the MSCI ESG Controversies methodology.</u>
How have the indicators for adverse impacts on sustainability factors been taken into account?	Not Applicable	<u>The Sub-Investment Adviser uses third-party data and/or proprietary analysis, including the MSCI ESG Controversies methodology, to assess the principal adverse impacts on sustainability factors as set out in table 1 of Annex I of the Commission Delegated Regulation (EU) 2022/1288 as amended from time to time. Investments considered to have negatively impacted sustainability factors and cause significant harm are not considered as sustainable investments.</u> <u>The MSCI ESG Controversies methodology aligns with certain principal adverse indicators to create specific exclusions related to severe controversies in areas such as pay, land use and biodiversity, water management, and toxic waste. Whilst the principal adverse indicators do not provide specific thresholds for harm they can be leveraged in identifying potentially the most significant harm.</u> <u>This framework is subject to ongoing review, particularly as the availability, and quality, of the data evolves.</u>
How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights? Details:	Not Applicable	<u>The Sub-Investment Adviser uses third-party data and/or proprietary analysis, including the MSCI ESG Controversies methodology, to assess alignment with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights. Investments considered to have violated these principles are not considered as sustainable investments.</u> <u>This framework is subject to ongoing review, particularly as the availability, and quality, of the data evolves.</u>

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What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?	(...) The Fund also applies the Firmwide Exclusions Policy (the "Firmwide Exclusions Policy"), which includes controversial weapons, as detailed under the Prospectus section entitled "Investment Restrictions". (...)	(...) <u>Further, the Fund holds a minimum of 10% of its net asset value in sustainable investments, as outlined in more detail in the section "What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?".</u> The Fund also applies the Firmwide Exclusions Policy (the "Firmwide Exclusions Policy"), which includes controversial weapons, as detailed under the Prospectus section entitled "Investment Restrictions". (...)
What is the asset allocation planned for this financial product?	A minimum of 80% (or 90% for the Global Real Estate Equity Income Fund) of the investments of the financial product are used to meet the environmental or social characteristics promoted by the Fund. (...)	A minimum of 80% of the investments of the financial product are used to meet the environmental or social characteristics promoted by the Fund. <u>In addition, the Fund invests a minimum of 10% of its net asset value in sustainable investments.</u> (...)
What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?	Not Applicable	<u>A minimum of 0% of the Fund's total assets will be invested in sustainable investments with an environmental objective that are not aligned with the EU Taxonomy. The Fund invests in sustainable investments that are not aligned with the EU Taxonomy because it is part of the Fund's investment strategy or because the EU Taxonomy (and related data) does not comprehensively cover all industries and sectors, or all environmental objectives. While the Fund has a commitment to invest 10% in sustainable investments, it does not commit to a minimum share of sustainable investments with an environmental or social objective as it may be invested in either objective.</u>
What is the minimum share of socially sustainable investments?	Not Applicable	<u>A minimum of 0% of the Fund's total assets will be invested in sustainable investments with a social objective. While the Fund has a commitment to invest 10% in sustainable investments, it does not commit to a minimum share of sustainable investments with an environmental or social objective as it may be invested in either objective.</u>

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Pre-Contractual Disclosures for the Janus Henderson Flexible Income Fund & Janus Henderson US Short Duration Bond Fund

Template pre-contractual disclosure section	Existing Disclosure	New Disclosure (changes underlined)
What environmental and / or social characteristics are promoted by this financial product?	(...) JHI leverages a proprietary ESG framework, utilising both third party data and proprietary insights, to produce agency mortgage-backed securities issuer ratings. To encourage the adoption of better environmental and/or social practices the Fund will only invest in agency mortgage-backed securities issuers falling within the top 5 of the 6 ratings produced. For further information, please see below. (...)	(...) JHI leverages a proprietary ESG framework, utilising both third party data and proprietary insights, to produce <u>securitisation</u> issuer ratings. To encourage the adoption of better environmental and/or social practices the Fund will only invest in <u>securitisations where the securitisation</u> issuers falls within the top 5 of the 6 ratings produced. For further information, please see below. (...)
What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?	(...) Ratings of issuers of agency mortgage-backed-securities across the portfolio based on the proprietary framework. (...)	(...) Ratings of issuers of <u>securitised assets</u> across the portfolio based on the proprietary framework. (...)
What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?	(...) Leverage a proprietary ESG framework, utilising both third party data and proprietary insights, to categorise issuers of agency mortgage backed securities against six ratings from “Category 1” (the highest) to “Category 6” (the lowest). To encourage the adoption of better environmental and/or social practices the Fund will only invest in the top 5 of 6 category ratings, i.e. it will not invest in “Category 6” (the lowest) rated issuers as such issuers have been evaluated as having insufficient management of sustainability risks. The category ratings reflect the Sub-Investment Adviser’s view of the most relevant level of ESG risk for most companies within the sector and can help inform portfolio construction in terms of exposure to a certain sector. (...)	(...) Leverage a proprietary ESG framework, utilising both third party data and proprietary insights, to produce <u>securitisation issuer ratings. To encourage the adoption of better environmental and/or social practices, the Fund will only invest in the top 5 of the 6 ratings.</u> (...)
What is the asset allocation planned for this financial product?	(For the Janus Henderson Flexible Income Fund and Janus Henderson US Short Duration Bond Fund) (...) Other assets, which are not used to meet the environmental or social characteristics, may include cash or cash equivalents, derivatives for the purposes of efficient portfolio management, or derivatives for investment purposes other than those used to gain exposure to direct issuers and securitised assets other than agency mortgage-backed securities. (...)	(For the Janus Henderson Flexible Income Fund) (...) Other assets, which are not used to meet the environmental or social characteristics, may include cash or cash equivalents, derivatives for the purposes of efficient portfolio management, or derivatives for investment purposes other than those used to gain exposure to direct issuers and <u>Eligible Collective Investment Schemes</u>. (...)

		(For the Janus Henderson US Short Duration Bond Fund) (...) Other assets, which are not used to meet the environmental or social characteristics, may include cash or cash equivalents, derivatives for the purposes of efficient portfolio management, or derivatives for investment purposes other than those used to gain exposure to direct issuers. (...)
What Investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?	(For the Janus Henderson Flexible Income Fund and Janus Henderson US Short Duration Bond Fund) Other assets, which are not used to meet the environmental or social characteristics, may include cash or cash equivalents, derivatives for the purposes of efficient portfolio management, or derivatives for investment purposes other than those used to gain exposure to direct issuers and securitised assets other than agency mortgage-backed securities. (...)	(For the Janus Henderson Flexible Income Fund) Other assets, which are not used to meet the environmental or social characteristics, may include cash or cash equivalents, derivatives for the purposes of efficient portfolio management, or derivatives for investment purposes other than those used to gain exposure to direct issuers and <u>Eligible Collective Investment Schemes</u>. (...)
		(For the Janus Henderson US Short Duration Bond Fund) Other assets, which are not used to meet the environmental or social characteristics, may include cash or cash equivalents, derivatives for the purposes of efficient portfolio management, or derivatives for investment purposes other than those used to gain exposure to direct issuers. (...)

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Fund strategy clarificatory enhancements to the Janus Henderson Balanced Fund, Janus Henderson Flexible Income Fund & Janus Henderson US Short Duration Bond Fund

Fund	Existing Disclosure	New Disclosure (changes underlined)
Janus Henderson Balanced Fund	(...) The portfolio of Debt Securities is constructed relative to the equity element in order to manage the overall volatility of the Fund. Debt Securities are selected following a bottom-up, fundamentally driven investment process. The Sub-Investment Advisers process follows analysis of companies and individual securities, through the examination of financial information, company visits and market research. (...)	(...) The portfolio of Debt Securities is constructed relative to the equity element in order to manage the overall volatility of the Fund. <u>In choosing Debt Securities, the Sub-Investment Adviser's investment process is research-driven, incorporating "top-down" and "bottom-up" factors to identify and manage exposure to risks across sectors, industries, and individual investments. Fixed-income portfolio management evaluates expected risk-adjusted returns on a portfolio and position level by analysing fundamentals, valuations, and market technical indicators. This research encompasses both traditional fundamental analysis and data driven quantitative models and signals from such models.</u> (...)
Janus Henderson Flexible Income Fund	(...) The Sub-Investment Adviser seeks to identify the best opportunities across fixed income markets using a bottom-up, fundamentally driven investment process. This approach rests on a belief that some companies have inherent strengths for creating shareholder values over time, have better prospects than their peer groups and should therefore outperform even in challenging industrial and economic circumstances. This approach drives decision making at a macro level, combined with informing risk and sector allocation decisions. To undertake this analysis the Sub-Investment Adviser uses its own proprietary portfolio construction methodology that expands the range of available investments in which to make sector allocation decisions. This methodology aligns with the Fund's objectives and seeks to maximize returns by taking advantage of inefficiencies in the fixed income market. This methodology incorporates objective criteria and hedging assumptions to reduce the risk of adverse price movements. The methodology is reset to a neutral allocation on a monthly basis and so may vary over time depending on market conditions. (...)	(...) <u>In choosing Debt Securities, the Sub-Investment Adviser's investment process is research-driven, incorporating "top-down" and "bottom-up" factors to identify and manage exposure to risks across sectors, industries, and individual investments. Fixed-income portfolio management evaluates expected risk-adjusted returns on a portfolio and position level by analysing fundamentals, valuations, and market technical indicators. This research encompasses both traditional fundamental analysis and data driven quantitative models and signals from such models.</u> (...)
Janus Henderson US Short Duration Bond Fund	(...) The Sub-Investment Adviser's forward-looking fundamental credit research seeks to identify future winners and losers to express their high-conviction views. A bottom-up, fundamentally driven investment process focused on companies committed to transforming and improving their balance sheets, free cash flow generation, quality of management and security valuations drive	(...) <u>In choosing Debt Securities, the Sub-Investment Adviser's investment process is research-driven, incorporating "top-down" and "bottom-up" factors to identify and manage exposure to risks across sectors, industries, and individual investments. Fixed-income portfolio management evaluates expected risk-adjusted returns on a portfolio and position level by analysing fundamentals,</u>

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	security selection. This approach rests on a belief that some companies have inherent strengths, have better prospects than their peer groups and should therefore outperform even in challenging industrial and economic circumstances. A dynamic top down framework enables the Sub-Investment Adviser to assess the stage of credit cycle, identify opportunities and take an appropriate amount of risk. To undertake this analysis the Sub-Investment Adviser uses its own proprietary portfolio construction methodology that expands the range of available investments in which to make sector allocation decisions. This methodology aligns with the Fund's objectives and seeks to maximize returns by taking advantage of inefficiencies in the fixed income market. This methodology incorporates objective criteria and hedging assumptions to reduce the risk of adverse price movements. The methodology is reset to a neutral allocation on a monthly basis and so may vary over time depending on market conditions. (...)	<u>valuations, and market technical indicators. This research encompasses both traditional fundamental analysis and data driven quantitative models and signals from such models.</u> (...)
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Appendix B

Agents and Local Representatives of the Company

<u>Transfer Agent</u> International Financial Data Services (Ireland) Limited Bishops Square Redmond's Hill Dublin 2 Ireland Telephone number: +353 1 242 5453 Fax number: +353 1 562 5537	Investors in Singapore Singapore representative Janus Henderson Investors (Singapore) Limited Level 34 - Unit 03-04 138 Market Street CapitaGreen Singapore 048946 <i>The Prospectus, the Product Highlights Sheet ("PHS"), the Articles, as well as the annual and semi-annual reports of the Company, may be obtained free of charge from the Singapore representative.</i>
Investors in Switzerland Swiss Representative: FIRST INDEPENDENT FUND SERVICES LTD. Feldeggstrasse 12, 8008 Zurich Swiss Paying Agent: Banque Cantonale de Genève 17, quai de l'Île 1204 Geneva The prospectus for Switzerland, the key information documents, the articles, as well as the annual and semi-annual reports of the Company, may be obtained free of charge from the Swiss Representative.	Investors in Germany Janus Henderson Investors Europe S.A. ("JHIESA") 78, Avenue de la Liberté L-1930 Luxembourg Grand Duchy of Luxembourg <i>JHIESA is the facilities service provider according to Sec. 306a (1) German Investment Code (KAGB) and the relevant Prospectus and key information documents for packaged retail and insurance-based investment products (PRIIPs-KIDs), the Certificate of Incorporation and Memorandum and Articles of Association and the annual and semi-annual reports are available there free of charge in paper form.</i>
Investors in Belgium Janus Henderson Investors Europe S.A. ("JHIESA") 78, Avenue de la Liberté L-1930 Luxembourg Grand Duchy of Luxembourg <i>The PRIIPs KIDs (in English and French), the Prospectus, the Articles of association and the annual audited accounts and report (in English) of the Company can be obtained free of charge at the registered seat of the Company and the facilities agent.</i>	For all other investors Should you have any questions relating to these matters or copies of documents, you should either contact us at the above address or alternatively you should contact your investment consultant, tax adviser and/or legal adviser as appropriate. If you are in any doubt about the action to be taken, please seek advice from your stockbroker, bank manager, solicitor, accountant, relationship manager or other professional adviser. Please note that subsidiaries and/or delegated third parties of the Janus Henderson Group that you communicate with about your investment may record telephone calls and other communications for training, quality and monitoring purposes and to meet regulatory record keeping obligations in accordance with the Privacy Policy.

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THIS DOCUMENT IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION. IF YOU ARE IN ANY DOUBT AS TO THE ACTION YOU SHOULD TAKE YOU SHOULD SEEK ADVICE FROM YOUR INVESTMENT CONSULTANT OR OTHER PROFESSIONAL INDEPENDENT ADVISER. IN ACCORDANCE WITH THE CURRENT POLICY OF THE CENTRAL BANK, THIS DOCUMENT HAS NOT BEEN REVIEWED BY THE CENTRAL BANK.

JANUS HENDERSON CAPITAL FUNDS plc
(the “Company”)
Registration No. 296610.
10 Earlsfort Terrace
Dublin 2
Ireland

NOTICE TO SHAREHOLDERS
(“Notice”)

22 October 2025

Dear Shareholder,

We are writing to you to inform you of changes being made to certain sub-funds of the Company (the “Funds”).

Unless otherwise specified below, these changes will be reflected in an amended supplement for the Funds and/or prospectus to be dated on or around 21 November 2025 (the “Effective Date”).

The changes outlined below will not have a material impact on the investment strategy or risk profile of the Funds. There are no new fees, charges or increases in existing fees or charges borne by the Funds because of the changes. There will be no material adverse impact on the rights or interests of the Shareholders in the Funds. There will be no material change in the operation and/or manner in which the Funds are managed.

The terms used but not otherwise defined in this Notice shall have the same meanings as those defined in the latest prospectus for the Company and/or the supplement for the Funds (the “Prospectus”).

1. Update of the principal adverse impact applicability on the Manager and Funds categorised as Article 8 or 9 under the Disclosure Regulation

The section of the Prospectus titled “Adverse sustainability impacts” will be revised to clarify that the Manager will consider the principal adverse impacts of investment decisions on sustainability factors, in accordance with the regime outlined in Article 4 of the Disclosure Regulation. Additional details will be available at <https://www.janushenderson.com/en-ie/investor/principal-adverse-impact-statement/>.

In addition, the section will also be revised to clarify that Funds categorised as Article 8 or 9 under the Disclosure Regulation typically consider principal adverse impacts in accordance with Article 7 of the Disclosure Regulation.

2. Update of the good governance policy description under the SFDR pre-contractual disclosures

To provide investors with a more comprehensive description of the existing policy of how investee companies are assessed for good governance practices for all existing Article 8 Funds under SFDR, the following disclosures will be added under the section “What is the policy to assess good governance practices of the investee companies?” of the SFDR pre-contractual disclosures.

“The Sub-Investment Adviser has developed a proprietary framework based on internal analysis and data from external vendors to assess securities on specific indicators relating to good governance.”

This is purely a clarificatory refinement of the existing good governance assessment methodology and there is no change to the underlying methodology itself, nor is there any impact to the portfolios.

3. *Update of hyperlinks to the Sustainability Risk Policy and Responsible Investment Policy*

The hyperlink references disclosed in the Prospectus regarding the Sustainability Risk Policy and Responsible Investment Policy (currently the “ESG Investment Policy”) will be updated to direct investors to the Janus Henderson Investors “ESG Resource Library” web page.

4. *Update to “Sustainability Approach” disclosure and insertion of correct defined term*

For stylistic consistency across the range of sub-funds, the disclosure entitled “Sustainability Approach” has been added to the fund supplement of the Janus Henderson Global Real Estate Equity Income Fund.

For stylistic consistency across the range of sub-funds, the term “SFDR” referenced across the supplements of the Prospectus has been amended to the “Disclosure Regulation” in accordance to the definition in the Prospectus.

You are not required to take any action as a result of these changes, as it is only for your information.

Need more information? How to contact us

If you have any questions, please contact the Transfer Agent, using the details provided in Appendix A.

For local agents and representatives for Singaporean, Swiss, German or Belgian investors please see details in Appendix A.

Yours faithfully,



Director
For and on behalf of Janus Henderson Capital Funds plc

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Appendix A

Agents and Local Representatives of the Company

<u>Transfer Agent</u> International Financial Data Services (Ireland) Limited Bishops Square Redmond's Hill Dublin 2 Ireland Telephone number: +353 1 242 5453 Fax number: +353 1 562 5537	Investors in Singapore Singapore representative Janus Henderson Investors (Singapore) Limited Level 34 - Unit 03-04 138 Market Street CapitaGreen Singapore 048946 <i>The Prospectus, the Product Highlights Sheet ("PHS"), the Articles, as well as the annual and semi-annual reports of the Company, may be obtained free of charge from the Singapore representative.</i>
Investors in Switzerland Swiss Representative: FIRST INDEPENDENT FUND SERVICES LTD. Feldeggstrasse 12, 8008 Zurich Swiss Paying Agent: Banque Cantonale de Genève 17, quai de l'Île 1204 Geneva The prospectus for Switzerland, the key information documents, the articles, as well as the annual and semi-annual reports of the Company, may be obtained free of charge from the Swiss Representative.	Investors in Germany Janus Henderson Investors Europe S.A. ("JHIESA") 78, Avenue de la Liberté L-1930 Luxembourg Grand Duchy of Luxembourg <i>JHIESA is the facilities service provider according to Sec. 306a (1) German Investment Code (KAGB) and the relevant Prospectus and key information documents for packaged retail and insurance-based investment products (PRIIPs-KIDs), the Certificate of Incorporation and Memorandum and Articles of Association and the annual and semi-annual reports are available there free of charge in paper form.</i>
Investors in Belgium Janus Henderson Investors Europe S.A. ("JHIESA") 78, Avenue de la Liberté L-1930 Luxembourg Grand Duchy of Luxembourg <i>The PRIIPS KIDs (in English and French), the Prospectus, the Articles of association and the annual audited accounts and report (in English) of the Company can be obtained free of charge at the registered seat of the Company and the facilities agent.</i> Please note that the Janus Henderson Global Investment Grade Bond Fund is not marketed in Belgium.	For all other investors Should you have any questions relating to these matters or copies of documents, you should either contact us at the above address or alternatively you should contact your investment consultant, tax adviser and/or legal adviser as appropriate. If you are in any doubt about the action to be taken, please seek advice from your stockbroker, bank manager, solicitor, accountant, relationship manager or other professional adviser. Please note that subsidiaries and/or delegated third parties of the Janus Henderson Group that you communicate with about your investment may record telephone calls and other communications for training, quality and monitoring purposes and to meet regulatory record keeping obligations in accordance with the Privacy Policy.

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An umbrella fund with segregated liability between sub-funds. Registered No. 296610; Registered Address: as above.